

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

CHRISTIAN HERZIG et al.

Examiner:

Unknown

Serial No.:

10/539,331

Filed:

June 15, 2005

For:

HYDROPHILIC COPOLYSILOXANES AND METHOD FOR

THE PRODUCTION THEREOF

Attorney Docket No.: WAS 0699 PUSA

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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S/N: 10/539,331

Accompanying the Information Disclosure Statement is the statement of Dr. maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

CHRISTIAN HERZIG et al.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: 10/18/2005

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PTO/SB/08A (10-96) [MODIFIED]
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Substitute for Form PAPETO NAME OF THE SUBSTITUTE OF THE SUBSTITUT				Complete if Known		
				Application Number	10/539,331	
				Filing Date	June 15, 2005	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Christian Herzig et al.	
				Group Art Unit	Unknown	
(us	e as many sheets	as ne	cessary)	Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	WAS 0699 PUSA	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. PATENT DO	OCUMENT Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE				Complete if Known				
				Application Number \$107539,331				
				Filing Date	June 15, 2005			
SIAII	EMENT BY	AP	PLICANI	First Named Inventor	Christian Herzig et al.			
				Group Art Unit	Unknown			
	(use as many she	ets as	s necessary)	Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number	WAS 0699 PUSA			
	OTHER P	RIO	R ART NON P	ATENT LITERATUR	E DOCUMENTS			
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Signature	·	Considered	

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